

## Employment Agreement

This Employment Agreement (Agreement) is entered into by the University of Oregon (University) and George Horton (Coach).

### 1. Purpose

University and Coach have entered into this Agreement because the University desires to hire Coach, and Coach desires to work as an employee for the University. For these reasons, University has agreed to employ Coach in a salaried position, and Coach has agreed to be employed by the University upon the terms and conditions set forth herein.

### 2. Position

#### 2.1 Description of Coach's Responsibilities

- a. Title. Coach is employed as head coach of the University's intercollegiate baseball team subject to the terms and conditions of this Agreement. Coach is also an exempt Officer of Administration (OA), as defined in the OA employment policy. See, <https://policies.uoregon.edu/vol-5-human-resources/ch-11-human-resources-other/officer-administration-oa-employment>.
- b. Effort; Compliance. Coach agrees: (i) to devote his best efforts full time to the prompt and efficient performance of all duties and responsibilities of a head baseball coach and such other reasonably related duties and responsibilities (so long as they do not interfere with his duties and responsibilities as head baseball coach) as may be assigned to him from time to time, including but not limited to complying with the obligations set forth on Exhibit A; (ii) to give proper time and attention to furthering his responsibilities to the University; (iii) to comply with all rules, regulations, policies, and decisions established or issued by the University, the University Department of Intercollegiate Athletics (Department), the Pacific-12 Conference (PAC-12), and the National Collegiate Athletic Association (NCAA). Coach also agrees that notwithstanding the provisions of Section 4.5, during the Term of this Agreement he will not engage, directly or indirectly, in any business or other activity which would significantly detract from his ability to apply his best efforts to the performance of his duties and responsibilities. Coach also agrees not to usurp any corporate opportunities of University. Coach and University agree to implement the actions described in Exhibit A to this Agreement.
- c. General Administration as head baseball coach. During the period in which University employs Coach as head baseball coach, Coach agrees to perform properly, efficiently, to the best of his ability and consistent with University standards, all duties and responsibilities of a head baseball coach in this position. Coach is responsible for the management and administration of all phases of the intercollegiate baseball program fully in keeping with the philosophies and objectives of the Department and the

University, including compliance with NCAA, PAC-12, and University policies which can be found in the University's Policy Library, currently at [policies.uoregon.edu](http://policies.uoregon.edu).

d. Day to day responsibilities as head baseball coach. As head baseball coach, Coach's specific and essential responsibilities include, but are not limited to: (i) Working with student-athletes involving recruitment and selection, leadership and instruction in personal and athletic development, determining eligibility and promoting academic progress; (ii) Instilling, modeling and reinforcing in student-athletes high standards for character and conduct both on and off the field; (iii) Participating in activities to generate and maximize revenue to sustain the baseball program and to support other activities of the Department; (iv) Arranging and conducting practices; (v) Supervising personnel, including assistant coaches, graduate assistants and others, providing orientation and training as appropriate, conducting performance evaluations at least annually; (vi) Assisting with the conditioning, training, safety and discipline of student-athletes including, developing and communicating team rules and effectively implementing them; (vii) Directing the team at all baseball games and events; (viii) Participating in clinics, exhibitions, and camp activities as reasonably requested by the athletic director; (ix) Participating in public relations events as reasonably requested by the athletic director; (x) Cooperating with other Department and University personnel, including other coaches; and (xi) Performing other duties as reasonably requested by the athletic director.

e. Ethical Responsibilities: The University has established a tradition of ethical conduct at all levels of University life. In accordance with this tradition, Coach, as a member of the Department, agrees to represent the University in an honorable and ethical manner at all times. Standards for the ethical conduct of Department staff are established and enforced by the athletic director, the University, the PAC-12 Conference and the NCAA. In addition, University Intercollegiate Athletics Policy § 8.036 reflects and specifies certain requirements regarding ethical conduct, as does University's Conflict of Interest and Conflict of Commitment policies, each of which, as amended from time to time, shall be deemed a part of this Agreement. Coach further agrees to comply with all applicable constitutions, bylaws, interpretations, laws, policies, standards, directives, rules or regulations relating to the conduct and administration of the baseball program. If Coach becomes aware, or has reasonable cause to believe, that violations of applicable constitutions, bylaws, interpretations, laws, policies, standards, directives, rules or regulations have taken place, he shall report them promptly (and in all cases within seven days) to the athletic director. Coach will also comply with the University's reporting obligations relating to prohibited discrimination, the Clery Act and the abuse of minors. Coach also agrees to adhere to, to respect and to follow the academic standards, requirements and policies of the University at all times, including with respect to the recruitment of prospective student-athletes and the eligibility of current student-athletes.

## 2.2 Reassignment.

The University's intent is for Coach to serve as the head baseball coach of the intercollegiate baseball team throughout the Term of this Agreement. However, Coach understands that the University retains the right to assign Coach to other positions with different duties during the Term of this Agreement (Reassignment). Should such Reassignment be under consideration, University shall consult with Coach and seek Coach's input at least thirty (30) calendar days before a Reassignment is made. In no event, however, will Coach be assigned to a position that is not, in the University's sole good faith judgment, consistent with his education, expertise, or experience, nor will Coach's Guaranteed Salary be reduced during the Term of this Agreement. If University reassigns Coach and Coach refuses to accept such reassignment, University must terminate this Agreement pursuant to the terms and conditions set forth in Section 6.2 below, Termination by University (not for cause). The University also has the right to place Coach on paid administrative leave pending the outcome of an investigation relating to an alleged violation of this Agreement, applicable University policy or state or federal law. Coach's Guaranteed Salary will not be reduced while on paid administrative leave.

### 2.3 Reporting Relationship.

As head baseball coach of the intercollegiate baseball team, Coach shall report to the deputy athletic director or another member of the senior athletic administration as designated by the athletic director.

### 3. Term of Agreement

Except as provided for below, the term (Term) of this Agreement begins on September 11, 2017, and ends at 11:59 pm Pacific Time on June 30, 2020, at which time this Agreement shall expire without penalty to either party and shall not automatically renew. Contract Years shall be defined as follows:

September 11, 2017 – June 30, 2018 = Contract Year One

July 1, 2018 – June 30, 2019 = Contract Year Two

July 1, 2019 – June 30, 2020 = Contract Year Three (Mutual Option)

Contract Year Three is a Mutual Option, meaning Contract Year Three will only go into effect if both parties agree to exercise the Mutual Option. As stated in this paragraph, either party has the unilateral right to decline to exercise this option. In terms of exercising the right to extend to the Third Contract Year, the University must notify Coach of its desire to exercise or decline the Mutual Option on or before June 16, 2019. If the University chooses to exercise the Mutual Option, then Coach must notify the University of his desire to exercise or decline the Mutual Option on or before June 30, 2019. Notification must be given pursuant to Section 8.9. If either party fails to properly notify the other party by the dates required in this Section, then the presumption is that the status

quo will be preserved and the party chose to exercise the Mutual Option. In terms of declining to exercise the option, if the Mutual Option is declined by either party, then the contract expires on July 1, 2019 and all obligations owed by both parties under this contract, except the obligations set forth in Section 4.2.b, shall cease on that day. If the Mutual Option is declined by either party, then functionally this contract will expire on July 1, 2019 and Coach's right to unused vacation pay pursuant to Section 4.2.b shall remain. Section 6.2 and Section 6.3 do not apply if the Mutual Option is declined.

#### 4. Compensation

Coach is entitled to compensation as identified in this Agreement. Coach may earn supplemental income related to his employment as and to the extent approved by the University and consistent with NCAA and PAC-12 regulations. All payments from University are subject to applicable deductions and withholdings for tax purposes and employee benefit programs in which Coach participates. All payments are also subject to the terms and conditions in Sections 6 and 7 regarding termination of this Agreement.

##### 4.1 Salary

University shall pay Coach a Guaranteed Salary of \$500,000 per year (partial Contract Years will be prorated) throughout the term of this agreement. Coach's Guaranteed Salary shall be paid in equal installments on the University's regular pay days.

##### 4.2 Fringe Benefits

a. Except as set forth in this Agreement, Coach shall be entitled to participate in the University fringe benefits offered to other employees that share his status as an Officer of Administration. These include (but are not limited to) group life insurance, medical, dental, and vision insurance; paid vacation and sick leave; disability insurance; participation in the Optional Retirement Plan; and opportunities to invest in tax deferred annuities and deferred compensation plans. However, Coach is not entitled to use negative sick leave. Coach will be provided with protected leave in compliance with state and federal law.

b. Coach is eligible to use paid vacation during his employment with the university, subject to the terms and conditions set forth in this paragraph. Coach is eligible to be paid out for a maximum of 180 hours of accrued but unused vacation upon expiration of this Agreement or if Coach terminates this Agreement as outlined in Section 6.3. As provided for in Section 6.2, in the event the University is obligated to pay liquidated damages to Coach under Section 6.2, Coach is not entitled to be paid vacation pay because liquidated damages are Coach's exclusive remedy under that provision. Coach understands and agrees that Coach must notify and obtain the approval of his supervisor in advance of using vacation. Absent extenuating circumstances, as determined by the University, failure to obtain permission prior to using vacation leave will be considered an unexcused absence. Coach understands that a maximum of 260

hours of vacation time may be accrued. Coach acknowledges that the University will record as vacation regular work days on which Coach is absent during off-season periods in the event that Coach fails to properly record such time as vacation or sick leave.

c. Coach will receive reimbursement for all business-related travel and out-of-pocket expenses, including travel expenses for his spouse (whenever deemed appropriate by the athletic director), consistent with University policy.

d. University will pay the cost for Horton's spouse to travel to one regular season away baseball events. In the event the baseball team participates in NCAA Tournament post-season play, University agrees to pay the costs for Coach's spouse and any dependent children living at home to travel to away NCAA Tournament post-season games. Other spouse or dependent travel may be approved at the Athletic Director's discretion. University will also provide reasonable parking accommodations for baseball home game events at team facilities. Coach understands that these benefits may be subject to taxation.

e. University shall provide to Coach at all times during the Term of this Agreement while Coach is head baseball coach one (1) courtesy car. The Athletic Department will insure the car for official business, but Coach must provide automobile liability (no less than \$1 million limit) and collision insurance for personal use of the car. Should University be unsuccessful in obtaining the courtesy car for Coach, Coach shall receive a stipend of \$500 per month in lieu of the courtesy car.

f. During the Term of this Agreement while Coach is head baseball coach, Coach will be provided with membership to the Downtown Athletic Club if such a membership is available (and if not available then an available membership in an athletic club of Coach's choice in the Eugene area). The Athletic Department will pay monthly dues and approved business-related expenses. Coach will be responsible for any personal charges. This membership is provided to assist in fund-raising efforts. Should Coach be reassigned, Coach's continued membership will depend on the wishes of the Downtown Athletic Club, needs of the new position and its relative (to certain head coach positions) utility to promotional and fundraising functions.

g. During the Term of this Agreement while Coach is head baseball coach, Coach will receive, as part of the standard Athletic Department fringe benefit package and to help him fulfill his duties as head baseball coach: twenty-four (24) tickets to each of the University's baseball team's home games and two (2) tickets to each home game of each of the University's other varsity intercollegiate athletic teams. Coach understands that these benefits may be subject to taxation.

h. During the term of this agreement while Coach is head baseball coach, Coach will be eligible to annually receive a reasonable amount of apparel, equipment, and shoes from the University's contracted provider.

- i. Coach is eligible to receive a Nike Elite allowance at the discretion of the athletic director. Coach will receive an allowance of at least \$9,000 per year for the baseball program to be allocated at Coach's discretion.

#### 4.3 Annual Performance Incentives

Each Contract Year during the Term of this Agreement Coach will be eligible to receive performance incentive payments as follows:

Pac-12 final conference standings (win or tie, not cumulative)

Second place	\$ 20,000
First place	\$ 40,000

NCAA Tournament Participation (cumulative)

Regional appearance	\$ 25,000
Super Regional appearance	\$ 50,000
Top 8 National seed designation	\$ 10,000
College World Series appearance	\$100,000
College World Series final series	\$ 20,000
College World Series champions	\$100,000

National Poll results (as reported by Baseball America, Colligate Baseball newspaper or USA Today or their successors)

Top 11 – 25 rankings in final poll	\$ 5,000
Top 10 ranking in final poll	\$ 15,000

Individual student-athlete performance (cumulative per student)

Golden Spike award	\$ 5,000
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Coaching awards (cumulative)

Pac-12 coach of the year	\$ 10,000
National coach of the year	\$ 30,000

If earned by Coach, the incentive payments shall be made within forty-five (45) days following the

season in which such incentive payments are earned.

#### 4.4 Academic Incentives

For each Contract Year during the Term of this Agreement if Coach remains head baseball coach, Coach will be eligible to receive academic incentive payments based on the performance of members of the intercollegiate baseball team as follows:

Team scholarship athletic grade point average (per academic year; fall/winter/spring quarters, not cumulative)

2.5 – 2.74	\$ 5,000
2.75 – 2.99	\$10,000
3.00 or higher	\$20,000

These sums are not cumulative. If earned by Coach, the incentive payment shall be made within forty- five (45) days of the date upon which the spring quarter concludes. Coach must be employed by University as head baseball coach at the conclusion of each contract year to earn the GPA bonus for that contract year.

#### Academic Progress Rate

(i) Academic Progress Rate (not cumulative). Coach will be eligible to receive academic incentives payments based on the single-year Academic Progress Rate ("APR") of the baseball team. The APR rates measured will be based on the following schedule:

<u>Contract Year</u>	<u>APR Criteria</u>	<u>Date APR Issued</u>
Year 1	2016-17 Academic Year APR	June 2018
Year 2	2017-18 Academic Year APR	June 2019
Year 3	2018-19 Academic Year APR	June 2020

(ii) All Academic Progress Rate (APR) payments will be based on the following schedule:

<u>Academic Progress Rate</u>	<u>Payment</u>
985 - 999	\$25,000
1000	\$35,000

These sums are not cumulative. If earned by Coach, the incentive payment shall be made within forty- five (45) days of the date upon which the NCAA academic progress rate data is available to University. Coach must be employed by University as head baseball coach at the conclusion of each contract year to earn the APR bonus for that contract year.

#### 4.5 Opportunities to Earn Outside Income.

While Coach is head baseball coach, Coach shall have the opportunity to earn income from outside the University as a result of his position on the terms and conditions set forth herein.

a. The following general terms and conditions shall apply whenever Coach wishes to earn outside income related to his expertise, experience, or occupation as a head baseball coach: (i) Any outside activities shall not interfere with the full, complete, and satisfactory performance of Coach's duties and obligations as a University employee, recognizing always that his primary obligations lie with the University; (ii) In no event shall Coach knowingly accept or receive directly or indirectly any monies, benefit, or any other gratuity whatsoever from any person, corporation, University booster club or alumni association or other benefactor if such action would violate NCAA or PAC-12 Conference legislation or the constitution, bylaws, rules and regulations, or their interpretations in effect at the time, and changes of such legislation, constitution, bylaws, rules and regulations or interpretations automatically apply to this Agreement without the necessity of written modification; (iii) Coach shall disclose to, and obtain the advance written approval of the athletic director before entering into agreements for outside income or in-kind or financial benefits. Subject to other terms of this Agreement, such approval shall not be unreasonably withheld as to arrangements not directly related to coaching responsibilities, information and activities. Except as required by the Athletic Department, Coach shall not endorse or be a spokesperson or celebrity personality for goods or services manufactured, sold or provided by persons or entities other than those with which University has contracted, without prior written approval of University. Such approval shall not be unreasonably withheld.

b. Coach may earn additional compensation as a result of his responsibilities operating University's baseball youth summer camps. Camp operation is subject to all the rules and procedures outlined in the Athletic Department's Procedural Manual and University policy. The opportunity to operate a camp on or using University facilities is exclusively available to Coach only while he is serving as head baseball coach. University shall have no responsibility or liability for loss of camp income resulting from termination of this Agreement. University does not guarantee any minimal camp-related income. Income shall be determined consistent with Department procedures and practices.

c. Any income produced by outside activities is independent of this Agreement, and University shall have no responsibility or liability for any loss of such outside income resulting from termination of this Agreement or from the reassignment of Coach, regardless of Coach's expectations based on past history or representations and regardless of whether either party could have foreseen or contemplated such a loss upon termination or reassignment or whether such a loss resulted directly or indirectly from the termination or reassignment.

d. Coach shall not earn outside income from pre-game, post-game or coach's show, "highlights" and other television and radio show broadcasts. Except for spontaneous, live interviews consistent with any restrictions arising out of any University media rights holder agreement, Coach shall not provide any such



services, commentary or performance relating to University of Oregon baseball except as consistent with any University media rights holder agreement unless approved by the Athletic Department.

e. The Athletic Department reserves the right to deal directly with manufacturers, importers, or distributors of athletic shoes, apparel or equipment, and to negotiate and contract for usage and endorsement of their products. Coach shall not enter into any such contracts except as expressly authorized pursuant to Section 4.5a of this Agreement.

f. Coach shall provide, as and when directed by the University, a detailed accounting in writing of all income and benefits from all sources outside the University.

## 5. Performance Evaluations

5.1 The deputy athletic director will evaluate Coach's performance of his job duties and responsibilities annually on the same basis as performance evaluations are done for other employees of Coach's classification. These evaluations may take into account prior evaluations and the expectations and goals set for Coach in such prior evaluations.

5.2 As part of the evaluation process, win-loss record, post-season appearance performance (if any), recruiting success, compliance with University, Presidential, and NCAA policies, standards, directives, rules and regulations, student-athlete academic progress, student-athlete development, and fund-raising success shall be taken into account and evaluated against comparably situated and funded Division I intercollegiate athletics programs.

5.3 If Coach has a complaint regarding the terms and conditions of Coach's employment, Coach can report that complaint to the deputy athletic director as appropriate. If that report relates to prohibited discrimination, Coach always retains the option make a report to the Office of Affirmative Action and Equal Opportunity or the Title IX Coordinator or to seek assistance from those offices, consistent with UO policy relating to prohibited discrimination and state and federal law. Except as stated in this section 5, Coach has no other rights relating to performance evaluations or internal grievance or complaints processes.

## 6. Termination without Cause

### 6.1 Termination due to Death or Disability.

a. This Agreement shall terminate upon Coach's death. This Agreement shall also terminate upon Coach's total disability (within the meaning of University's disability insurance for employees of Coach's classification or within the meaning of Oregon Public Employees Retirement System (PERS) regulations or federal Social Security Administration Regulations).

b. If this Agreement is terminated pursuant to this section because of Coach's death, Coach's compensation and all other benefits shall terminate as of the calendar month in which death occurs, except that his estate or other designated beneficiary shall be paid all such death benefits, if any, as may be contained in any benefit plan now in force or hereafter adopted by University and due to Coach pursuant to that plan. Coach's dependents' continued eligibility for benefits shall be in accordance with the standard eligibility of dependents of Officers of Administration at the University. In addition, University shall pay to Coach's estate any compensation already fully earned but not yet payable under this Agreement.

c. If this Agreement is terminated because Coach becomes totally disabled, Coach shall continue to receive the Guaranteed Salary and any other standard University fringe benefits provided for under this Agreement until such time as Coach becomes eligible for (even if subsequently paid retroactively) total disability benefits from PERS, Social Security, or a private or group insurer (cumulatively disability benefits), whichever first occurs. At the time Coach becomes eligible for disability benefits, if any, all compensation and other University fringe benefits shall terminate. Coach has an obligation to make diligent efforts to apply for disability benefits. If Coach fails to make diligent efforts to apply for disability benefits, the university's obligations under this Section 6.1 shall be discharged.

#### 6.2 Termination by University (not for cause)

a. The University shall have the right to terminate this Agreement at any time for any or no reason. Such termination shall be effectuated by delivering to Coach written notice of University's intent to terminate this Agreement without cause and shall be effective upon delivery to the Coach or upon the date stated in the letter, whichever is later (Termination Date). University shall not be obligated to state a reason for termination of Coach without cause. If University exercises its right under this Section 6.2, Coach shall only be entitled to payments, damages or compensation as provided for in Section 6.2.b below. This means that in no event will the University be obligated to pay Coach any amount in excess of the amount provided for in Section 6.2(b).

b. If University terminates this Agreement under this Section 6.2, University shall pay to Coach, as liquidated damages, the following:

1. If Coach is terminated under Section 6.2 prior to June 30, 2019, University shall pay Coach's remaining Guaranteed Salary through June 30, 2019. Partial years shall be prorated by multiplying Guaranteed Salary at the time of termination by  $X/365$ , where X is the number of days remaining in the current contract year at the time of termination.
2. If the Mutual Option for Contract Year Three (as outlined in Section 3) is exercised by University and by Coach, and Coach is subsequently terminated under Section 6.2, University shall pay Coach's remaining Guaranteed Salary through June 30, 2020. Partial years shall be prorated by multiplying Guaranteed Salary at the time of termination by  $X/365$ , where X is the

number of days remaining in the current contract year at the time of termination.

c. University's obligation under Section 6.2.b shall not accrue interest (so long as not in arrears) and shall be paid on a monthly basis through June 30, 2019 (in the event this agreement is terminated in accordance with Section 6.2.b.1) or through June 30, 2020 (in the event this agreement is terminated in accordance with Section 6.2.b.2). University's obligations shall be subject to Coach's duty to mitigate, as set forth in Section 6.2.e. Failure to pay timely such liquidated damages shall constitute a breach of this Agreement and such sum shall be recoverable, together with reasonable attorney fees (subject to the limitations and conditions of ORS 30.260 to 30.300) in any state court of competent jurisdiction in the State of Oregon. Notwithstanding the foregoing, Coach is required to give the University reasonable notice of the breach (no less than ten days) and an opportunity to cure prior to initiating a lawsuit against the University. After the Termination Date, Coach will not be entitled to any other employee benefits except as otherwise provided in this Section 6.2 or required by applicable law. This means that Coach must return all UO property (including any assigned Courtesy Car) to the university by the Termination Date. In no case shall University be liable for the loss of any collateral business opportunities or any other benefits (including unemployment compensation), or perquisites, or income resulting from activities such as but not limited to, camps, clinics, media appearances, broadcast talent fees, apparel, equipment or shoe contracts, consulting relationships, or from any other (inside-the-University or outside-the-University) sources that may ensue as a result of University's termination of this Agreement under this Section 6.2.

d. Coach and University have bargained for and agreed to the foregoing liquidated damages provisions, giving consideration to the fact that termination of this Agreement by University under this Section 6.2 may precipitate or lead to Coach's loss of certain salary, benefits, supplemental compensation or other economic advantages or income related to his employment at the University, which damages are extremely difficult to determine fairly, adequately, or with certainty. The parties further agree that the payment of such liquidated damages by University shall constitute sufficient, adequate and reasonable compensation to Coach for any loss, damages or injury Coach suffers because of such termination by University. The foregoing shall not be, nor be construed to be, a penalty. The provisions of this Section 6.2 shall be without prejudice to any other right (excluding unemployment compensation) Coach may have under applicable law. Coach acknowledges that state and federal taxes must be withheld and paid on liquidated damages as required by law.

e. If University terminates this Agreement under this Section 6.2, Coach agrees to mitigate University's obligations to pay liquidated damages under Section 6.2.b by making reasonable, good faith, and diligent efforts to obtain Comparable Employment, such as a coaching position (not necessarily as a head coach) or a senior athletic administrative position (such as athletic director or associate athletic director position) at a college or university or with a professional team as soon as reasonably possible after termination of this Agreement. Should Coach obtain any employment, including, but not limited to,

such Comparable Employment, ("New Employment") University's financial obligations under this Agreement, including Section 6.2.b, shall cease if Coach's Monthly Compensation (defined as 1/12<sup>th</sup> of Coach's annual compensation, inclusive of all bonuses and any form of monetary compensation, including any payments into special programs, including special insurance vehicles or corporations or trusts, but excluding usual and customary non-monetary fringe benefits such as health and life insurance, club memberships and use of vehicles), is equal to or greater than University's obligation to pay liquidated damages under Section 6.2.b, prorated on a monthly basis. If Coach's Monthly Compensation, from New Employment is less than University's monthly obligation to pay liquidated damages under Section 6.2.b, the amount of University's obligation to pay liquidated damages shall be reduced on a monthly basis by the greater of: a) the amount of Coach's Monthly Compensation from such New Employment or, in the event University reasonably determines that the amount of such Monthly Compensation is not within an acceptable range of compensation amounts pursuant to the anticipated results of an arms-length negotiation between Coach and a new employer when then-existing and relevant marketplace factors are applied, or b) the median Monthly Compensation, as determined from publically available sources, of incumbents in equivalent coaching or senior athletic administrative positions in, as relevant, the NCAA Division, collegiate athletics' conference, or professional sports league in which Coach has obtained Comparable Employment or any other employment. In no event, will the University's then-current obligation to pay Coach liquidated damages increase if Coach resigns from New Employment or is otherwise terminated from New Employment. In the event Coach is separated from New Employment, Coach continues to have the mitigation obligations set forth above. Coach shall promptly inform University of changes in his employment status (including monthly salary and type and value of fringe benefits that are included in the calculation of Coach's compensation) for purposes of the implementation of this Section 6.2.e. Coach agrees to provide University with a copy of any employment agreement relevant to Coach's obligations stated above, as well as other information necessary to establish compliance with this mitigation obligation including, upon request, information relating to income Coach has received in a manner that did not generate a form W-2 or 1099. Should Coach fail to produce evidence of engaging in good faith efforts to obtain employment within a reasonable time (not to exceed thirty days) following receipt of University's request for such documentation or should such documents indicate that Coach is not fulfilling Coach's mitigation obligations outlined in this section, University's obligations to pay liquidated damages under Section 6.2.b shall cease.

### 6.3 Termination by Coach

a. Coach recognizes that his promise to work for the University for the entire Term of this Agreement is of essence to this Agreement. Coach also recognizes that the University is making a highly valuable investment in his continued employment by entering into this Agreement and that its investment would be lost were he to resign or otherwise terminate his employment with the University prior to the expiration of the Term of this Agreement. In recognition of these facts, the parties agree that Coach's decision to terminate this Agreement prior to its expiration will be subjected to the following terms and conditions.

b. If Coach wishes to pursue other employment opportunities, Coach is required to provide Athletic Director with written or verbal notice prior to meeting with representatives from another entity to discuss such employment opportunities. If Coach terminates this Agreement during its Term he must notify Athletic Director in writing. While Coach is assigned to the position of head baseball coach, such termination by Coach must occur at a time other than during the baseball season (including post-season), unless both parties mutually agree otherwise. However, so long as the termination occurs other than during the baseball season, nothing limits Coach's ability to provide notice at any time. If Coach gives notice prior to the completion of regular and, if relevant, post-season play, University may require Coach to continue his coaching and other responsibilities, or University may reassign Coach until the completion of all play. Simultaneously with such notice, Coach shall inform University in writing of his employment plans following the termination of his employment with University. Coach shall be deemed to have resigned his employment and terminated this agreement, as provided for in section 6.3, if Coach does not perform his duties for more than 30 days and such absence is unexcused, meaning that he did not obtain consent from the university prior to taking leave and the absence is not attributable to a medical emergency or other situation that prevented the Coach from informing the university of her absence. The University will determine whether a situation is one that prevents the Coach from informing it of his absence. Except as set forth in Section 4.2.b, if Coach resigns under Section 6.3, the University shall have no further financial obligation to Coach beyond the effective date of Coach's resignation.

## 7. Termination for Cause and Discipline

7.1 Coach may be disciplined as determined by the athletic director for: (i) any reason for which an Officer of Administration may be disciplined; ii) for a material violation of any constitution, bylaw, interpretation, rule, regulation, or policy of the NCAA and PAC-12, policy, standard or directive of the University or the President; or (iii) any material violation of local, state or federal law or a material breach of this Agreement. Discipline under this provision may be in addition to discipline imposed by the NCAA or the PAC-12 conference. Depending on the violation, the athletic director may provide an opportunity for Coach to remediate or may impose a reprimand, assess a fine (of a day's pay or more), suspend (with or without pay) or, consistent with Section 7.2, terminate Coach. Coach shall cooperate fully with any University personnel in the course of any investigation of illegal or prohibited behavior on the part of students, boosters, employees, administrators, volunteers, or agents of the University.

7.2 University shall have the right to terminate this Agreement for cause prior to its expiration. Coach waives any procedural rights he may have under UO policy or the law except those contained in this Agreement. If University is considering termination for cause, Coach shall be notified of the grounds and shall have the opportunity to present a statement of denial, explanation or excuse before such termination is finalized.

a. "Cause" shall include in addition to its normally understood meaning in employment agreements and in University Policy: (i) A deliberate and serious violation of the duties outlined in this Agreement or refusal or unwillingness to perform such duties in good faith and to the best of Coach's abilities; (ii) Conduct resulting in a conviction for violation of any criminal statute involving moral turpitude or a state or federal felony crime; (iii) A serious violation of any law, rule, regulation, constitutional provision, bylaw, or interpretation of the PAC-12 Conference or the NCAA, which may, in the sole good faith judgment of University, reflect or impact materially and adversely upon University or its athletic program or which may result in University being placed on probation by the PAC-12 Conference or the NCAA, including any violation which may have occurred during prior employment at University or another NCAA member institution, either by Coach or by a member of the coaching staff or any other person Coach supervises or directs; (iv) Absence from duty of 60 continuous days (except due to illness documented by a licensed physician) or 60 business days in any twelve (12) month period (except due to illness documented by a licensed physician) without the athletic director's consent (which shall not be unreasonably withheld); (v) a serious violation of any University or Presidential policy, standard or directive; (vi) Failure to prevent misconduct by student-athletes that results in harm to others in circumstances where the Coach could have prevented the misconduct and failed to take reasonable action to do so; or (vii) any conduct violation meeting the definition of "Cause" as outlined in the University Resignations and Terminations Policy. <https://policies.uoregon.edu/resignations-and-terminations>.

b. If this Agreement is terminated for cause, all obligations of University to make further payments or to provide any other consideration to Coach shall cease as of the end of the month in which such termination occurs. If the Agreement is terminated for cause, the University shall not be liable to Coach for any compensation, damages or the loss of any collateral business opportunities or any other benefits, perquisites or income whether from University or other sources.

## 8. Miscellaneous

8.1 This Agreement will be governed and construed in accordance with the laws of the State of Oregon without regard to principles of conflicts of law. In no event shall any part of this Agreement be construed as a waiver of sovereign and governmental immunities or limits of liability enforceable in the courts of the State of Oregon.

8.2 The captions or headings in this Agreement are for convenience only and in no way define, limit, or describe the scope or intent of any provisions of this Agreement.

8.3 The parties agree that if any term or provision of this Agreement is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and provisions will not be affected, and the parties agree to attempt to substitute for any illegal, invalid, or

unenforceable provision a valid or enforceable one, which achieves the economic, legal and commercial objectives of the invalid or unenforceable provision to the greatest extent possible.

8.4 No waiver, consent, modification, or change of any term of this Agreement shall bind either party unless the same is in writing and signed by both parties and all necessary approvals have been obtained. Such express waiver, consent, modification, or change, if made, shall be effective only in the specific instance and for the specific purpose set forth in such signed writing. Failure of either party to enforce any provision of this Agreement shall not constitute a waiver of the right to future enforcement of that or any other provision.

8.5 This Agreement may be executed in counterparts, and via facsimile or electronically transmitted signature (i.e. emailed scanned true and correct copy of the signed Agreement), each of which will be considered an original and all of which together will constitute one and the same agreement. At the request of a party, the other party will confirm facsimile or electronically transmitted signature page by delivering an original signature page to the requesting party.

8.6 This Agreement may be publicly disclosed in its entirety.

8.7 Coach's position as head baseball coach is not tenure-related and has no academic rank.

8.8 This Agreement, together with all incorporated documents and exhibits attached hereto and referenced herein, constitutes the entire agreement between the parties with respect to the subject matter hereof and merges all prior and contemporaneous communications with respect to such subject matter. Accordingly, because this Agreement covers the terms and conditions of Coach's employment, Coach is not subject to those OA policies and procedures that cover the same subject matters covered by this agreement, including OA policies and procedures relating to time off (sick and vacation), separations, discipline or grievances. However, Coach is subject to those university policies expressly incorporated in this agreement and those university policies that concern subject matters not covered by this agreement. For example, Coach is subject to the University's policies prohibiting discrimination and requiring the reporting of prohibited discrimination, the University's policies requiring the report of fraud and abuse and the university policies covering minors on campus programs. This Agreement shall not be modified except by a signed writing dated subsequent to the date of this Agreement and signed by Coach and on behalf of University by its duly authorized representative. The parties hereby acknowledge and agree that this Agreement has been negotiated by the parties and their respective counsel and shall be interpreted fairly in accordance with its terms and without any strict construction in favor of or against either party.

8.9 All notices, claims, requests, demands and other communications hereunder shall be made in writing and shall be deemed given if delivered to the Coach or to the Athletic Director in-person or if delivered by either one of the following two methods: (i) mailed (registered or certified mail, postage prepaid, return receipt requested); or (ii) e-mailed to the person's official University e-mail address

(read receipt requested). Generally, notices shall be delivered to the following persons simultaneously. However, if in-person delivery is used, the copy will be mailed or e-mailed within a reasonable time thereafter. Delivery is effective on: (i) the date the notice is delivered in-person; (ii) three days after notice is placed in the mail; or (iii) on the date e-mailed to the person's official UO e-mail address.

To Coach: George Horton  
Last known address on file with Human Resources  
Official UO e-mail address

With a copy to: RJ Hernandez  
The Legacy Agency  
500 Newport Center Drive, Suite 800  
Newport Beach, CA 92660  
rjhernandez@tlaworldwide.com

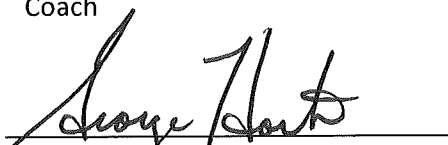
To the University: Director of Athletics  
University of Oregon  
2727 Leo Harris Parkway  
Eugene, OR 97401

With a copy to: Office of the General Counsel  
University of Oregon

8.10 All compensation payable to Coach under this Agreement or sums payable to Coach for breach of this Agreement are payable only from revenues of the Department of Athletics or funds made available to the Department of Athletics by University's affiliated foundation. University is not obligated to use state general fund money to pay compensation payable to Coach or to pay sums payable to Coach for breach of this Agreement. Nothing in this section 8.10 shall be construed to affect University's obligation to pay compensation to Coach under this Agreement from the sources of funds identified in this Section 8.10.

The parties indicate their acceptance of and agreement to the terms and conditions of this Agreement by their signatures below.

Coach



George Horton

8/18/17  
Date

University of Oregon



Rob Mullens

8/22/17  
Date



## **Exhibit A**

### Communication

The president of the University will meet with the Coach annually to discuss the president's expectations for NCAA rules compliance.

The athletics director will meet with the Coach annually to discuss the athletics director's expectations for NCAA rules compliance. The meeting will address the following:

- Athletics director's philosophy and expectations on rules compliance.
- Compliance resources for the baseball program.
- The baseball program's shared responsibility with compliance staff.
- Continued dialogue with athletics director to discuss the institution and baseball program's compliance environment and expectations.

The compliance director will meet with the Coach at least annually to discuss his/her expectations for NCAA rules compliance. The meeting will address the following:

- Compliance director's philosophy and expectations on rules compliance.
- Compliance resources for the baseball program.
- A discussion of the compliance staff's and baseball program's expectations for submitting rules interpretations and waiver requests and how to best resolve any disagreements over the submission of such requests.
- Baseball program's shared responsibility with compliance staff.
- Expectations for reporting actual and suspected NCAA rules issues (e.g., immediate action; reporting lines).
- Establishment of a plan for continued dialogue with compliance director to discuss the institution and program's compliance environment and expectations.
- Establishment of a plan for ongoing dialogue between coaching staff and compliance staff to discuss key issues facing the sport and program (e.g., agents; initial eligibility; pre-enrollment amateurism, etc.).

The president, athletics director, compliance director and Coach will meet annually to discuss the institution and program's compliance environment and expectations.

### Monitoring

The Coach will actively look for red flags of potential violations.

In consultation with the compliance director, the Coach will create written procedures to ensure that the baseball staff, including assistant coaches, is monitoring the baseball program's rules compliance.

In consultation with the compliance director, the Coach will:

- Assign a baseball staff liaison to the University's compliance staff.
- Assign baseball staff members to monitor specific areas of compliance (e.g., recruiting contacts, initial eligibility, amateurism, telephone contacts).
- Regularly evaluate baseball staff members to ensure their areas of compliance are monitored and that all responsibilities are executed in a timely manner.
- Ensure that the baseball program has adequate and ongoing compliance training and that there is a plan in place for discussion of important information.
- Determine reporting lines for resolving actual and potential NCAA rules issues.
- Determine reporting lines to alert compliance staff of issues involving prospective student-athletes and current student-athletes (e.g., agents, initial eligibility, pre-enrollment amateurism, etc.).
- Regularly solicit feedback from the baseball staff concerning their areas of compliance and the program's overall compliance environment in order to ensure that the monitoring systems are functioning properly.
- Ensure that baseball staff immediately notifies the compliance staff when concerns or red flags occur related to potential NCAA rules violations.